CONSENT EDIT

CHAPTER Pod 200 PRACTICE AND PROCEDURE

Edit: as this is an entire chapter, could just say "Pod 216"

Repeal Pod 201 through Pod 216.02, effective 5-6-03 (Document #7887-A), as follows:

Repeal Fou 201 through Fou 210.02, effective 5-0-05 (Document #7667-A), as follows:
Pod 201.01 <u>Purpose and Scope</u> . The purpose of this chapter is to set out the process by which the board acquires sufficient information to make fair and reasoned decisions on matters within its statutory jurisdiction, including decisions on applications and complaints filed against licensees. These rules are intended to secure the just, efficient and accurate resolution of all board proceedings.
- -
- DATE AND DEFENDENCE OF THE STATE OF THE ST
PART Pod 202 DEFINITIONS
Pod 202.01 Definitions.
- (a) "Appearance" means a written notification to the board that a party's or intervener's
representative intends to actively participate in a hearing.
(b) "Hearing" means "adjudicative proceeding" as defined by RSA 541-A:1, I, namely, "the procedure to be followed in contested cases, as set forth in RSA 541-A:31 through RSA 541-A:36."
(c) "Motion" means a request to the presiding officer for an order or ruling directing some act to be done in favor of the party making the motion, including a statement of justification or reasons for the request.
(d) "Natural person" means a human being.
(e) "Party" means "party" as defined by RSA 541-A:1, XII, namely, "each person or board named or admitted as a party, or properly seeking and entitled as a right to be admitted as a party."
(f) "Person" means "person" as defined by RSA 541-A:1, XIII, namely, "any individual, partnership, corporation, association, governmental subdivision, or public or private organization of any character other than a board."
(g) "Presiding officer" means "presiding" officer as defined in RSA 541-A:1, XIV, namely, "that individual to whom the board has delegated the authority to preside over a proceeding, if any; otherwise it shall mean the head of the board."
(h) "Proof by a preponderance of the evidence" means a demonstration by admissible evidence that a fact or legal conclusion is more probable than not to be true.
- PART Pod 203- PRESIDING OFFICER; WITHDRAWAL AND WAIVER OF RULES
Pod 203.01 Presiding Officer; Appointment; Authority.
(a) Each hearing shall be conducted for the board by a natural person appointed by the board to serve as a presiding officer.
- (b) A presiding officer shall as necessary:

PART Pod 204 FILING, FORMAT AND DELIVERY OF DOCUMENTS

Pod 204.01 <u>Date of Issuance or Filing</u>. All written documents governed by these rules shall be rebuttably presumed to have been issued on the date noted on the document and to have been filed with the

Text added to existing rule in *bold italics*Text deleted from existing rule struck through

board on the ac	etual date of receive	at by the board as	evidenced by a date	e stamp placed on th	ne document by
			evidenced by a dat	e stamp placed on a	ie document by
the board in the	e normal course of	`business.			

Pod 204.02 Format of Documents.
(a) All correspondence, pleadings, motions or other documents filed under these rules shall:
(1) Include the title and docket number of the proceeding, if known;
(2) Be typewritten or clearly printed on durable paper 8 1/2 by 11 inches in size;
(3) Be signed by the party or proponent of the document, or, if the party or intervener appears by a representative, by the representative; and
(4) Include a statement certifying that a copy of the document has been delivered to all parties and interveners to the proceeding in compliance with Pod 204.03.
- (b) A party's, intervener's or representative's signature on a document filed with the board shall constitute certification that:
(1) The signer has read the document;
(2) The signer is authorized to file it;
(3) To the best of the signer's knowledge, information and belief there are good and sufficient grounds to support it; and
(4) The document has not been filed for purposes of delay.
Pod 204.03 Delivery of Documents.
(a) Copies of all petitions, motions, exhibits, memoranda, or other documents filed by any party or intervener to a proceeding governed by these rules shall be delivered by that party or intervener to all other parties or interveners or, if represented, to the representative to the proceeding.
 (b) All notices, orders, decisions or other documents issued by the presiding officer or board shall be delivered to all parties and interveners to the proceeding.
(c) Delivery of all documents relating to a proceeding shall be made by personal delivery or by depositing a copy of the document, by first class mail, postage prepaid, in the United States mail, addressed to the last address given to the board by the party and intervener.
(d) When a party or intervener appears by a representative, delivery of a document to the party's or_intervener's representative at the address stated on the appearance filed by the representative shall constitute delivery to the party or intervener.
- - PART Pod 205-TIME PERIODS

Pod 205.01 Computation of Time. (a) Unless otherwise specified, all time periods referenced in this chapter shall be calendar days. (b) Computation of any period of time referred to in these rules shall begin with the day after the action which sets the time period in motion and shall include the last day of the period so computed. (c) If the last day of the period so computed falls on a Saturday, Sunday or legal holiday, then the time period shall be extended to include the first business day following the Saturday, Sunday or legal holiday. PART Pod 206 MOTIONS AND PLEADINGS Pod 206.01 Motions: Objections. (a) Motions shall be in written form and filed with the presiding officer, unless made in response to a matter asserted for the first time at a hearing or on the basis of information which was not received in time to prepare a written motion. (b) Oral motions and any oral objection to such motions shall be recorded in full in the record of the hearing. If the presiding officer finds that the motion requires additional information in order to be fully and fairly considered, the presiding officer shall direct the moving party or intervener to submit the motion in writing, with supporting information. (c) Objections to written motions shall be filed within 30 days of the date of the motion. (d) Failure by an opposing party or intervener to object to a motion shall not in and of itself constitute grounds for granting the motion. (e) The presiding officer shall rule upon a motion after full consideration of all objections. Pod 206.02 Pleadings. (a) The only pleadings permitted shall be petitions, other than for rulemaking, and replies to petitions. Applications shall not be considered pleadings. (b) All petitions shall contain: (1) The name and address of the petitioner; (2) The name and address of the petitioner's representative, if any;

(4) The action that the petitioner wishes the board to take; and

(5) The identification of any statutes, rules, orders, or other authority that entitles the petitioner to request the board to act.

(3) A concise statement of the facts that caused the petitioner to request the board to act;

— (c) Board replies to petitions shall contain:
(1) The name and address of the petitioner;
(2) The name and address of the representative of the petitioner, if any;
(3) A statement addressing each fact alleged in the petition;
(4) A statement addressing the authority identified by the petitioner;
(5) A concise response to each statement;
(6) The identification of any statutes, rules, orders, or other authority, not identified in the petition, having a bearing upon the subject matter of the petition; and
(7) The action the board took.
(d) Replies shall be filed within 90 days from the date of the petition.
PART Pod 207 NOTICE OF HEARING; APPEARANCES; PRE-HEARING CONFERENCES
giving notice to the parties and interveners at least 30 days prior to the hearing as required by Pod 207.03. Pod 207.02 Docket Numbers. A docket number shall be assigned to each matter to be heard which shall appear on the notice of hearing and all subsequent orders or decisions of the board. -
Pod 207.03 Notice of Hearing.
(a) A notice of a hearing issued by the board at least 30 days prior to the hearing shall contain the information required by RSA 541-A:31, III, namely:
(1) A statement of the time, place and nature of any hearing;
(2) A statement of the legal authority under which a hearing is to be held;
(3) A reference to the particular statutes and rules involved, including this chapter;
(4) A short and plain statement of the issues involved;
(5) A statement that each party has the right to have an attorney represent them at their owr expense; and
(6) A statement that each party has the right to have the board provide a certified shorthand court reporter at the party's expense and that any such request shall be submitted in writing a least 10 days prior to the hearing.
- -

Text added to existing rule in **bold italics** Text deleted from existing rule struck through Pod 207.04 Appearances and Representation. (a) A party's or intervener's representative shall file an appearance that includes the following information: (1) A brief description of the proposed representative's professional qualifications; (2) A statement as to whether or not the representative is an attorney and if so, whether the attorney is licensed to practice in New Hampshire; and (3) The party, intervener or representative's daytime address and telephone number. Pod 207.05 Prehearing Conference. At any time following the commencement of an adjudicatory proceeding, the presiding officer, upon motion, or upon his or her own initiative, shall direct all interested parties to attend one or more prehearing conference in accordance with RSA 541 A:31, V to consider: (a) Offers of settlement; (b) Simplification of the issues; (c) Stipulations or admissions as to issues of fact or proof by consent of the parties and interveners; (d) Limitations on the number of witnesses; (e) Changes to standard procedures desired during the hearing by consent of the parties and interveners; (f) Consolidation of examination of witnesses; and (g) Any other matters which aid in the disposition of the proceeding. PART Pod 208 ROLES OF BOARD STAFF AND COMPLAINANTS Pod 208.01 Role of Board Staff in Enforcement or Disciplinary Hearings. Unless called as witnesses, board staff shall have no role in any enforcement or disciplinary hearing. Pod 208.02 Role of Complainants in Enforcement or Disciplinary Hearings. Unless called as a witness or granted party or intervener status, a person who initiates an adjudicative proceeding by complaining to the board about the conduct of a person who becomes a party shall have no role in any enforcement or disciplinary hearing. **PART Pod 209 INTERVENTION** Pod 209.01 Intervention. (a) A person may intervene in a matter pending before a board under the provisions of RSA 541-A:32 by filing a motion stating facts demonstrating that the person's rights or other substantial interests

might be affected by the proceeding or that the person qualifies under any provision of law.

Text added to existing rule in *bold italics*Text deleted from existing rule struck through

(b) If the presiding officer determines that such intervention would be in the interests of justice and
would not impair the orderly and prompt conduct of the hearing, he or she shall grant the motion for intervention.
(c) Participation by interveners shall be limited to that which is necessary to protect the interest identified in the petition for intervention.
(d) Petitions for intervention shall be filed any time after commencement of a proceeding, and state:
(1) The petitioner's interest in the subject matter of the hearing;
(2) Whether the petitioner appears in support of the complainant, or the respondent, as well as for his or her own interest;
(3) Why the interests of the parties and the orderly and prompt conduct of the proceeding would not be impaired; and
(4) Any other reasons why the petitioner should be permitted to intervene.
(e) Petitions for intervention shall be granted if the petitioner has an interest in the proceeding and has clearly stated this interest and the orderly and prompt conduct of the hearing would not be impaired.
(f) A person filing a complaint that becomes the subject of a disciplinary hearing shall be served with the hearing notice and notified of the right to intervene in the proceeding.
 (g) Once granted leave to intervene, interveners shall take the proceedings as they find them and no portion of any proceeding shall be repeated because of the fact of intervention.
- PART Pod 210 CONTINUANCES AND FAILURE TO ATTEND HEARING
Pod 210.01 Continuances.
(a) Any party or intervener to a hearing may make an oral or written motion that a hearing be continued to a later date or time.
(b) If a continuance is requested by a party or intervener to the hearing, it shall be granted if the presiding officer determines that good cause has been demonstrated. Good cause shall include the unavailability of parties, interveners, witnesses or attorneys necessary to conduct the hearing, the likelihood that a hearing will not be necessary because the parties and interveners have reached a settlement or any other circumstances that demonstrate that a continuance would assist in resolving the case fairly.
(c) If the later date, time and place are known at the time of the hearing that is being continued, the date, time and place shall be stated on the record. If the later date, time and place are not known at the time of the hearing that is being continued, the presiding officer shall issue a written scheduling order stating the date, time and place of the continued hearing as soon as practicable.
Pod 210.02 <u>Failure to Attend Hearing</u> . If any party or intervener to whom notice has been given in accordance with Pod 207.03 fails to attend a hearing, the presiding officer shall declare that party or intervener to be in default and shall either:

PART Pod 212 RECORD, PROOF, EVIDENCE AND DECISIONS

(a) Any party or intervener may submit proposed findings of fact and conclusions of law to the

presiding officer prior to or at the hearing.

(b) Upon request of any party or intervener, or if the presiding officer determines that proposed
findings of fact and conclusions of law would serve to clarify the issues presented at the hearing, the presiding officer shall specify a date after the hearing for the submission of proposed findings of fact and conclusions of law.
(c) In any case where proposed findings of fact and conclusions of law are submitted, the decision shall include rulings on the proposals.
Pod 212.06 Closing the Record.
(a) After the conclusion of the hearing, the record shall be closed and no additional evidence shall be received into the record except as allowed by Pod 212.07.
Pod 212.07 Reopening the Record.
(a) If no written proposal for decision pursuant to Pod 212.08(b) or final decision pursuant to Pod 212.08(d) has been issued, any party or intervener may move to reopen the record for the inclusion in the record of specified evidence.
(b) A motion pursuant to (a) above shall be granted if:
(1) There is no objection from any other party or intervener;
(2) The evidence sought to be included in the record was not available at the time of the hearing; and
(3) The presiding officer determines that evidence is relevant material and non-duplicative and its inclusion in the record is necessary to a full and fair consideration of the issues to be decided.
(c) If there is an objection from a party or intervener to a motion made pursuant to (a) above, the hearing shall be reopened for the purpose of receiving evidence, permitting cross examination and permitting argument on the issue of reopening the record for the admission of the specified evidence.
(d) The presiding officer shall grant a motion made pursuant to (a) above if, after the reopened hearing described in (c) above, the hearing officer determines that the evidence:
(1) Was not available at the time of the hearing;
(2) Is relevant material and non-duplicative; and
(3) Is necessary to a full and fair consideration of the issues to be decided.
(e) If the presiding officer permits the reopening of the record for the admission of the specified evidence, the hearing officer shall extend the hearing reopened pursuant to (c) for the purpose of receiving evidence, permitting cross-examination and permitting argument on the substance of the evidence.
- Pod 212.08 <u>Decisions.</u>

(a) A board member shall not participate in making a decision unless he or she personally heard the
estimony in the case, unless the matter's disposition does not depend on the credibility of any witness and the record provides a reasonable basis for evaluating the testimony.
(b) If a presiding officer has been delegated the authority to conduct a hearing in the absence of a
majority of the members of the board who are to render a final decision and the matter's disposition does
not depend on the credibility of any witness, the presiding officer shall submit to the board a written
proposal for decision, which shall contain a statement of the reasons for the decision and findings of fact
and rulings of law necessary to the proposed decision.
(c) If a proposal for decision in a matter not personally heard by all board members voting on the
decision is adverse to a party or intervener to the proceeding, the board shall serve a copy of the proposal
for decision on each party and intervener to the proceeding and provide an opportunity to file exceptions
and present briefs and oral arguments to the board.
(d) A proposal for decision shall become a final decision upon its approval by the board.
(e) A board shall keep a decision on file in its records for at least 5 years following the date of the
final decision or the date of the decision on any appeal, unless the director of the division of records
management and archives of the department of state sets a different retention period pursuant to rules
adopted under RSA 5:40.
PART Pod 213 MOTION FOR REHEARING
Pod 213.01 Adjudicatory Orders. An adjudicatory order of the board shall not be final until the date
t is served upon the parties and interveners pursuant to Pod 204.03.
Pod 213.02 Motions for Reconsideration. Motions for reconsideration after a board hearing shall be
allowed pursuant to RSA 541:3.
Pad 212 02 Eiling and Content of Mation
Pod 213.03 Filing and Content of Motion.
(a) A motion for rehearing shall be filed within 30 days of the date of the board decision or order.
(a) A motion for renearing shall be fried within 30 days of the date of the board decision of order.
(b) A motion for rehearing shall:
(b) 14 motion for renearing share.
(1) Identify each error of fact, error of reasoning, or error of law which the moving party or
intervener wishes to have reconsidered;
-
(2) Describe how each error causes the board's decision to be unlawful, unjust or unreasonable,
or illegal in respect to jurisdiction, authority or observance of the law, an abuse of discretion
or arbitrary, unreasonable or capricious;
-
(3) State concisely the factual findings, reasoning or legal conclusion proposed by the moving
party or intervener; and
-
(4) Include any argument or memorandum of law the moving party or intervener wishes to
file.

<u>-</u>
Pod 213.04 Standard for Granting Motion for Rehearing. A motion for rehearing shall be granted it
it demonstrates that the board's decision is illegal in respect to jurisdiction, authority or observance of law,
an abuse of discretion, or arbitrary, unreasonable or capricious.
-
- Ded 212.05 Designer on Mation for Debassing. The board shall arout an dense a mation for rebessing
Pod 213.05 Decision on Motion for Rehearing. The board shall grant or deny a motion for rehearing within 10 days of the filing of the motion for rehearing.
-
PART Pod 214 RULEMAKING HEARINGS
-
Pod 214.01 Purpose. The purpose of this part is to provide a uniform procedure for the conduct of
public hearings at which comment from the general public will be solicited for evaluation and consideration
by the board relative to rulemaking.
- D. 1014 00 G
——————————————————————————————————————
- (a) These rules shall apply to all hearings required by state law to be conducted by the board at which
public comment shall be solicited, except that they shall not apply to adjudicative hearings.
-
——————————————————————————————————————
-
(a) The proceeding for a public comment hearing concerning rulemaking shall be commenced by
placing notice of the hearing in the "Rulemaking Register" so that it shall appear at least 20 days prior to
the hearing date.
- (b) Notice for rulemaking public comment hearings shall comply with RSA 541-A:6, I.
-
(c) Nothing in these rules shall prohibit the board from giving greater notice than the minimums set
out in this part.
-
Pod 214.04 Media Access.
- (a) Public comment hearings shall be open to the print and electronic media.
- (a) I done comment nearings shan be open to the print and electronic media.
(b) The moderator shall place limits on the activities of the media to avoid disruption in the following
ways:
• -
(1) Limit the number of media representatives when their presence is disproportionate to the
number of citizens present and shall cause citizens to be excluded;
(2) Limit the placement of television cameras to certain locations in the hearing room; and
(3) Prohibit interviews from being conducted within the hearing room during the hearing.
-
-
Pod 214.05 Moderator.
-
(a) The hearing shall be presided over by a moderator who shall be the board chairperson or a
designee.

——— (b) The	e moderator shall:
-	Call the hearing to order;
-	2) Cause a recording of the hearing to be made;
-	B) Place limits on the media to avoid disruption as set out in Pod 214.04(b);
-	1) Recognize those who wish to be heard and establish the order thereof;
(-	5) Limit the time for each speaker, as set out in Pod 214.06(b);
-	6) Remove or have removed any person who disrupts the hearing;
-	7) Adjourn the hearing; and
- -	3) Provide opportunity for the submission of written comments.
- 	1.06 <u>Public Participation.</u>
All whose na Reasonable ti availability of -	er name and address on a speakers' list before the last speaker on the list has finished speaking. mes appear on the speakers' list shall be afforded reasonable time to speak at the hearing. me shall be determined considering the number of people who wish to be heard and the the facility. board, through the moderator, shall:
_	Refuse to recognize a person who refuses to give his or her full name and address;
- (2 S 1	When a group or organization wishes to comment, limit the group to no more than 3 pokespersons, provided that the members who are present shall be allowed to enter their names and addresses into the record as supporting the position by the group or organization;
- (2	B) Revoke recognition of a speaker who speaks or acts in an abusive or disruptive manner; or
	1) Revoke recognition of a speaker who refuses to keep his or her comments relevant to the sue or issues which are the subject of the hearing.
	itten comments may be submitted any time from the time notice has been published until the en closed, which shall not be less than 7 calendar days after the hearing.
issues involve availability ar	he event that the number of speakers who wish to give oral testimony relevant to the issue or and exceed that number which can be heard within a reasonable period of time subject to facility and length of the hearing, the hearing shall be reconvened pursuant to RSA 541-A:11, III to exceed that number which can be heard. Speakers may elect to submit written testimony in lieu of I hearing.
_	

(a) The petitioner shall provide such further information or participate in such evidentiary or other

proceedings as the board shall direct after reviewing the petition and any replies received.

Pod 216.02 Action on Petitions.

Text deleted from existing rule struck through

(b) Upon review and consideration, the board shall within 90 days rule on the petition pursuant to Pod 206.02(d).

Repeal Pod 217, effective 12-15-12 (Document #10242-A), as follows:

PART Pod 217 EXPLANATION AFTER ADOPTION

Pod 217.01 Explanation after Adoption.

(a) Any person may request an explanation regarding adoption of the rules pursuant to RSA 541-A:11, VII by submitting a request to the board.

(b) The request shall be considered at the next scheduled board meeting and the board shall issue a response within 45 days after consideration.

Adopt Pod 201 to read as follows:

PART Pod 201 APPLICABILITY OF Plc 200

Edit/Unclear: consider flipping these two so that the Part title is "Rules of Practice and Procedure" (broader term and gives the reader a better idea of what the entire Part is about) and the section title is "Applicability of Plc 200", as that is all the section encompases.

Pod 201.01 Rules of Practice and Procedures. The Plc 200 rules shall govern with regards to all procedures for:

- (a) The receipt and investigation of misconduct complaints;
- (b) The conduct of adjudicative and non-adjudicative proceedings;
- (c) Rulemaking submissions, considerations, and disposition of rulemaking petitions;
- (d) Public comment hearings;
- (e) Declaratory rulings;
- (f) Explanation of adopted rules;
- (g) Voluntary surrender of licenses; and
- (h) Waivers.

APPENDIX I

Rule	Statute Implemented
Pod 201 - Pod 213 (repeal)	2023, 235:8, effective July 15, 2023
Pod 214 (repeal)	2023, 235:8, effective July 15, 2023
Pod 215 (repeal)	2023, 235:8, effective July 15, 2023
Pod 216 (repeal)	2023, 235:8, effective July 15, 2023
Pod 217 (repeal)	2023, 235:8, effective July 15, 2023
Pod 200	RSA 541-A:16, I(b)-(d)